

To:

Atty.
Dkt. No.

M#

Client Ref.

258698

P3103C

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

Applicant: CATT et al.

Appln. No.: 09/285,060

Filing Date: APRIL 2, 1999

Examiner: C. MARMOR

Group Art Unit: 3736

Date: JULY 17, 2000

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U.S. PATENT DOCUMENTS

Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
Com	AR	3,141,740	07/1964	Wild	436	65	
Com	BR	3,406,016	10/1968	FOSTER et al.	475	28	
Com	CR	3,406,015	10/1968	Foster	435	28	
Com	DR	3,434,801	03/1969	Scherr	422	56	77
Com	ER	3,436,186	04/1969	Mcsweeney et al.	436	65	77
Com	FR	3,749,089	07/1973	Derr	600	345	77
Com	GR	3,875,013	04/1975	MANAUTOU et al.	475	18	77
Com	HR	3,924,609	12/1975	FRIEDENBERG et al.	600	551	77
Com	IR	3,926,037	12/1975	Kopito et al.	73	54.37	77
Com	JR	3,968,011	07/1976	Manautou et al.	435	18	
Com	KR	3,986,494	10/1976	Preti et al.	600	551	
Com	LR	3,991,174	11/1976	Grundman	436	521	
Com	MR	4,002,056	01/1977	Kopito et al.	73	54.37	
Com	NR	4,010,738	03/1977	Preti et al.	600	551	

FOREIGN PATENT DOCUMENTS

PATENT INFORMATION DOCUMENTS						Abstract		Readily Available		
		Document Number	Date MM/YYYY	Country	Inventor Name		Enclosed	No	Enclose	No
	OR	0-011-594	12/1984	EPO	DESJAGQUES					X
	PR	0-097-851	06/1983	EPO	MENKE et al.				X	
	QR	0-132-199	07/1984	EPO	TRAFFORD et al.				X	
	RR	0-177-994	01/1990	EPO	REGAS et al.					X
	SR	0-195-207	01/1986	EPO	FROHN et al.					X
	TR	0-225-054	10/1986	EPO	PERRY et al.				X	
	UR	0-286-743	04/1987	EPO	KOSASKY				X	
	VR	0-291-194	04/1988	EPO	MAY et al.				X	
	WR	0-339-092	12/1987	EPO	MATSUURA				X	
	XR	0-367-615	11/1989	EPO	GLAZE				X	

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	YR	Adlercreutz et al., "Prediction of ovulation by urinary estrogen assays", J. Steroid Biochem. 1980, v. 12, pp 395-348			
	ZR	Adlercreutz et al., "The measurement of urinary steroid glucuronides as indices of the fertile period in women", J. Steroid Biochem. 1982, v. 17, pp 695,702			
	AAR	Albertson et al., "Review Article: The prediction of ovulation and monitoring of the fertile period", Adv. Contracept, v. 3, pp 263-290			
	BBR	Baird et al., "Using the ratio of urinary oestrogen and progesterone metabolites to estimate day of ovulation", Statistics in Medicine, 1991, 10, pp 255-266			
	CCR	Barnard et al., "A nonseparation, time-resolved fluoroimmunoassay to monitor ovarian function and predict potential fertility in women", Fertility and Sterility, 1989, 52(1), pp 60-65			
	DDR	Bieglmayer et al., "Evaluation of a simple and fast self-test for urine luteinizing hormone", Fertility and Sterility, 1990, 53(5), pp 842-846			

Examiner Charles Marmor II

Date Considered: 1/19/01

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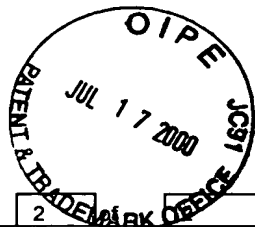
Examiner: C. MARMOR

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Com	AR	4,013,066	03/1977	Schuster	600	551	
Com	BR	4,031,365	06/1977	Raggiotti et al.	702	131	
Com	CR	4,036,212	07/1977	Karuhn	600	551	
Com	DR	4,059,986	11/1977	Schuster	73	54.37	
Com	ER	4,072,045	02/1978	Kopito	73	54.37	
Com	FR	4,119,089	10/1978	Preti et al.	436	65	
Com	GR	4,123,510	10/1978	Banik et al.	476	520	
Com	HR	4,148,304	04/1979	Mull	600	549	
Com	IR	4,151,831	05/1979	Lester	600	549	
Com	JR	4,151,833	05/1979	Polishuk	600	551	
Com	KR	4,208,187	06/1980	Givner	436	521	
Com	LR	4,232,215	11/1980	Hanley	235	78RC	
Com	MR	4,246,907	01/1981	Bullock	600	551	
Com	NR	4,261,371	04/1981	Reading, III	600	551	

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		Document Number	Date MM/YYYY	Country	Inventor Name		Abstract		Readily Available	
							Enclosed	No	Enclose	No
	QR	0 383 619	02/1990	EPO	DAVIS et al.				X	
	PR	0 385 621	02/1990	EPO	CATT et al.				X	
	QR	0 424 102	10/1990	EPO	NISHIMURA				X	
	RR	0 470 507	08/1991	EPO	LO SAPIO et al.				X	
	SR	0 476 703	09/1991	EPO	NISHIMURA et al.				X	
	TR	0 498 303	01/1992	EPO	WEINMANN				X	
	UR	0 653 625	11/1994	EPO	CATT et al.				X	
	VR	WO 80/02800	12/1980	WIPO	OSTER et al.				X	
	WR	WO 84/03381	08/1984	WIPO	AESCHLIMANN	X				X
	XR	WO 87/02774	05/1987	WIPO	BAKER et al.				X	

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.):

YR	Bischof et al., "Comparison of a rapid, quantitative and automated assay for urinary luteinizing hormone (LH), with an LH detection test, for the prediction of ovulation", Human Reproduction, 1991, 6(4), pp 515-518			
ZR	Bonnar, "Biological methods of identifying the fertile period", Fertility and Sterility, 1984, Eds. Harrison et al., MTP Press, pp 77-92			
AAR	Brown et al., "Appendix 1: Correlations between the mucus symptoms and the hormone markers of fertility throughout reproductive life", The Ovulation Method, 7 th Ed., Ed. Bilings, Advocate Press, Melbourne, 1983, pp 99-125			
BBR	Brown et al., "Natural Family Planning", Am. J. Obstet. Gynecol., 1987, 157(4), Part 2, pp 1082-1089			
CCR	Brown et al., "Chemical and homogeneous immunoassay methods for the measurement of estrogens and pregnanediol and their glucuronides in urine", Non-Radiometric Assays: Technology and Application in Polypeptide and Steroid Hormone Detection, Publ. Alan R. Liss Inc., 1988, pp 119-138			
DDR	Brown et al., "New assays for identifying the fertile period", Int. J. Gynecol. Obstet. Suppl. 1, 1989, pp 111-122			

Examiner *Charles Marmor, II*

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Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
Com	AR	4,312,360	01/1982	Conway et al.	600	549	
Com	BR	4,367,527	01/1983	Desjardes	368	107	
Com	CR	4,370,727	01/1983	Bellet	708	105	
Com	DR	4,377,171	03/1983	Wada	600	549	
Com	ER	4,381,121	04/1983	Hanley	283	115	
Com	FR	4,385,125	05/1983	Preti et al.	436	65	
Com	GR	4,396,020	08/1983	Wolff et al.	600	551	
Com	HR	4,408,905	10/1983	Ehrenkranz	374	157	
Com	IR	4,443,851	04/1984	Lin	600	551	
Com	JR	4,450,239	05/1984	Chatterton	435	792	
Com	KR	4,465,077	08/1984	Schneider	600	551	
Com	LR	4,466,445	08/1984	Abrams	600	549	
Com	MR	4,475,158	10/1984	Elias	600	549	
Com	NR	4,488,560	12/1984	Takamura	600	551	

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PRIORITY PENDING DOCUMENTS						Abstract		Readily Available		
		Document Number	Date MM/YYYY	Country	Inventor Name		Enclosed	No	Enclose	No
	OR	WO 90/11521	10/1990	WIPO	BAHAR et al.				X	
	PR	WO 91/15594	10/1991	WIPO	CLOUGH et al.				X	
	QR	WO 94/02850	02/1994	WIPO	WELLS et al.				X	
	RR	WO 94/04926	03/1994	WIPO	CATT et al.				X	
	SR	WO 95/16920	06/1995	WIPO	MARCH et al.				X	
	TR	945,670	01/1964	UK					X	
	UR	1 203 619	08/1970	UK					X	
	VR	2 406 646	04/1983	UK	CHATTERTON				X	
	WR	2 045 480	10/1980	UK	OGITA et al.				X	
	XR	2 186 977	08/1987	UK	KUBOUCHI				X	

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	YR	Burger, "The physiological basis of the fertile period", Fertility and Sterility, Eds. Harrison et al., MTP Press, 1984, pp. 51-58							
	ZR	Burger, "Estradiol: the physiological basis of the fertile period", Int. J. Gynecol Obstet, Suppl 1, 1989, pp 5-9							
	AAR	Campbell, "Methods of monitoring ovarian function and predicting ovulation: summary of a meeting", Research Frontiers in Fertility Regulation, 1985, 3(5), pp 1-16							
	BBR	Cardone et al., "Objective and subjective data for fertile period diagnosis in women: comparison of methods", Clin Exp Obst Gyn, XIX, 1992, 1, pp 15-24							
	CCR	Cekan et al., "The prediction and/or detection of ovulation by means of urinary steroid assays", Contraception, 1986, 33(4), pp 327-345							
	DDR	Collins et al., "The concentrations of urinary oestrone-3-glucuronide, LH and pregnanediol-3a-glucuronide as indices of ovarian function", Acta Endocrinologica, 1979, 90, pp 336-347							

Examiner Charles Marmor II

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Group Art Unit: 3436

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Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
Com	AR	4,498,481	02/1985	Lemke	600	547	
Com	BR	4,530,366	07/1985	Nessi et al.	600	549	
Com	CR	4,534,362	08/1985	Schumacher et al.	600	551	
Com	DR	4,557,273	12/1985	Stoller et al.	600	551	
Com	ER	4,614,715	09/1986	Tsibris et al.	435	28	
Com	FR	4,670,401	06/1987	Cutler et al.	436	65	
Com	GR	4,685,471	08/1987	Regas et al.	600	547	
Com	HR	4,691,714	09/1987	Wong et al.	600	551	
Com	IR	4,752,880	06/1988	Aeschlimann	600	551	
Com	JR	4,753,247	06/1988	Kirsner	600	547	
Com	KR	4,779,627	10/1988	Kosasky	600	551	
Com	LR	4,770,186	09/1988	Regas et al.	600	547	
Com	MR	4,921,808	05/1990	Schneyer et al.	436	503	
Com	NR	5,043,888	08/1991	Uriarte	600	551	

FOREIGN PATENT DOCUMENTS

		Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
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	QR	2-116-318	09/1983	UK	BAKER et al.			X	
	PR	1-048-001	02/1979	Canada				X	
	QR	1 183 080	02/1985	Canada	COULSON et al.			X	
	RR	2-290-876	06/1976	France					X
	SR	2-652-092	03/1991	France	REVOL				X
	TR	1 214 438	04/1988	Germany	WILD			X	
	UR	28 03 152	07/1979	Germany				X	
	VR	28 47 397	05/1980	Germany				X	
	WR	3 037 977	05/1982	Germany				X	
	XR	3 221 999	04/1983	Germany				X	

OTHER (Including in this order: Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	YR	Collins et al., "Biochemical indices of the fertile period in women", Int J Fertil, 1981, 26(3), pp 196-202			
	ZR	Collins et al., "Ovulation prediction and detection by the measurement of steroid glucuronides", Proc X Int Congress on Fertility and Sterility, 1981, pp 19-33			
	AAR	Gellines et al., "Biochemical methods for predicting ovulation", Fertility and Sterility, 1984, pp 59-69			
	BBR	Collins, "Hormonal indices of ovulation and the fertile period", Adv Contracept, 1985, 1, pp 279-294			
	CCR	Collins, "Biochemical indices of potential fertility", Int J Gynecol Obstet, 1989, Supl. 1, pp 35-43			
	DDR	Collins et al., "Ovarian morphology, endocrine function and intra-follicular blood flow during the peri-ovulatory period", Human Reproduction, 1991, 6(3), pp 319-324			

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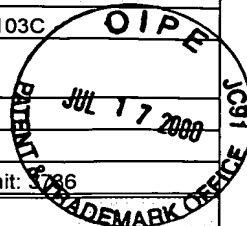
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Group Art Unit: 5786



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Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
CSM	AR	5,050,612	09/1991	Matsumura	600	483	
CSM	BR	5,063,903	11/1991	Nacci Wahl et al.	123	500	
CSM	CR	5,091,170	02/1992	Navot	436	65	
CSM	DR	5,137,028	08/1992	Nishimura	600	551	
CSM	ER	5/209,238	05/1993	Sundhar	600	551	
CSM	FR	5,248,593	09/1993	Hubner-Parajsz et al.	435	7.9	
CSM	GR	4,676,254	06/1987	Frohn	600	549	
CSM	HR	5,216,599	06/1993	Uebe et al.	600	551	
	IR						
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FOREIGN PATENT DOCUMENTS

		Document Number	Date MM/YYYY	Country	Inventor Name		Abstract		Readily Available	
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	QR	3-247-750	06/1984	Germany					X	
	PR	3-344-442	11/1984	Germany					X	
	QR	3-325-598	01/1985	Germany					X	
	RR	3-342-251	05/1985	Germany					X	
	SR	3-528-964	02/1987	Germany					X	
	TR	3-343-020	06/1985	Germany					X	
	UR	3-609-956	10/1987	Germany					X	
	VR	3-802-479	08/1989	Germany						X
	WR									
	XR									

	YR	Collins (1991) <i>Am J Obstet Gynecol</i> , 165(6), p 1994-1996: 'The evolution of reference methods to monitor ovulation'							
	ZR	Collins (1992) <i>Biochem Soc Trans</i> , 20, p 234-237: 'Immunochemical tests of potential fertility'							
	AAR	Colombo (1989) <i>Int J Gynecol Obstet, Suppl 1</i> , p 13-18: 'Biometrical research on some parameters of the menstrual cycle'							
	BBR	Corsan et al <i>Fertility and Sterility</i> (1990) 53(4), p 591-601: 'Home urinary luteinizing hormone immunoassays: clinical applications'							
	CCR	Denari et al (1981) <i>Obstetrics & Gynecology</i> , 58 (1), p 5-9: 'Determination of Ovarian Function Using First Morning Urine Steroid Assays'							
	DDR	Djerassi (1990) <i>Science</i> , 1 June 1990, p 1061-1062: 'Fertility Awareness: Jet Age Rhythm Method?'							

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P31030

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Group A Unit: 3736

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OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

YR	Fabres et al (1993) <i>Human Reproduction</i> 8, p 208-210: 'Validation of the dual analyte assay of the oestrone:pregnanediol ratio in monitoring ovarian function'			
ZR	Flynn (1989) <i>Int J Gynecol Obstet Suppl</i> 1, p 123-127: 'Natural family planning and the new technologies'			
AAR	Fordney-Settlage (1981) <i>Int J Fertil</i> 26, p 161-169: 'A Review of Cervical Mucus and Sperm Interactions in Humans'			
DDR	France et al (1975) <i>J Reprod Fert Suppl</i> 22, p 107-120: 'The Detection of Ovulation in Humans and its Applications in Contraception'			
GCR	Garcia et al (1984) <i>Fertility and Sterility</i> 36(3), p 308-315: 'Prediction of the Time of Ovulation'			
DDR	Gudgeon et al (1989) <i>The Medical Journal of Australia</i> 152, p 344, 346 and 349: 'Evaluation of the accuracy of the home ovulation detection kit, Clearplan, at predicting ovulation'			

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	WR									
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OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

YR	Hatcher et al (1994) <i>Contraceptive Technology</i> , 16th Revised Edn. Irvington Publishers, NY, p 327-340: 'Fertility Awareness'			
ZR	Ismail et al (1989) <i>Contraception</i> , 39(1), p 53-71: 'An evaluation of the Bioself 110 fertility indicator'			
AR	Judge et al (1978) <i>Steroids</i> , 31(2), p 175-187: 'Time Course Relationships between Serum LH, Serum Progesterone and Urinary Pregnandiol Concentrations in Normal Women'			
DDR	Katz et al (1991) <i>Journal of Andrology</i> , January/February 1991, Abstract 29: 'Human Cervical Mucus Properties and Sperm Mucus Interaction during the Proliferative Phase of the Menstrual Cycle'			
CCR	Katz (1991) <i>Am J Obstet Gynecol</i> , 165(6), Part 2, p 1984-1986: 'Human cervical mucus: Research update'			
DDR	Kerin et al (1981) <i>British J Obstet Gynecol</i> , 88(2), p 81-90: 'Morphological and Functional Relations of Graafian Follicle Growth to Ovulation in Women Using Ultrasonic, Laparoscopic and Biochemical Measurements'			

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	UR									
	VR									
	WR									
	XR									

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YR	Lauson et al (1992) J Steroid Biochem Molec Biol, 42(2), p 223-228: 'A Direct Dot-Enzyme Immunoassay to Detect Human Ovulation'			
ZR	Lewis et al (1993) New Zealand Medical Journal, 28 April 1993, p 165-166: 'Recycling ovulation markers'			
AAR	Lewis et al (1994) Steroids, 59, p 288-291: 'Re-examining steroid hormone metabolites as ovulation markers using monoclonal antibodies'			
BBR	Landgren et al (1980) Acta Endocrinologica, 94, p 89-98: 'Hormonal profile of the cycle in 68 normally menstruating women'			
CCR	May (1989) Proceedings of "Biotech '89" Conference, Blenheim Online Publications, p 291-295: 'Clearblue One Step: adapting technology to the needs of the consumer'			
DDR	Moghissi et al (1972) Am J Obstet Gynecol, 114(3), p 405-418: 'A composite picture of the menstrual cycle'			

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INFORMATION DISCLOSURE STATEMENT
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YR	Moghissi (1980) <i>Fertility and Sterility</i> , 34(2), p 89-96: 'Prediction and Detection of Ovulation'			
ZR	Moghissi (1982) <i>Reproductive Endocrinology</i> , 21(1) p 39-55: 'Ovulation Detection'			
AAR	Munro et al (1994) <i>Clin Chem</i> , 37 (6), p 838-844: 'Relationship of Serum Estradiol and Progesterone Concentrations to the Excretion Profiles of Their Major Urinary Metabolites as Measured by Enzyme Immunoassay and Radioimmunoassay'			
BBR	Paz et al (1990) <i>Gynecol Obstet Invest</i> , 29, p 207-210: 'Determination of Urinary Luteinizing Hormone for Prediction of Ovulation'			
CCR	<i>Population Reports</i> , Series 1, No 3, September 1991, p 1-33 - 1-71: 'Periodic Abstinence: How well do new approaches work?'			
DDR	Royston (1982) <i>Biometrics</i> , 38, p 397-406: 'Basal Body Temperature, Ovulation and the Risk of Conception, with Special Reference to the Lifetimes of Sperm and Egg'			

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YR	Royston (1991) <i>Statistics in Medicine</i> , 10, p 221-240: 'Identifying the Fertile Phase of the Human Menstrual Cycle'			
ZR	Schiphorst et al (1985) <i>Fertility and Sterility</i> , 44(3), p 328-334: 'An estrogen test to determine the times of potential fertility in women'			
AAR	Singh et al (1984) <i>Fertility and Sterility</i> , 41(2), p 210-217: 'Clinical validation of enzymeimmunoassay of human luteinizing hormone (hLH) in the detection of the preovulatory luteinizing (LH) surge in urine'			
BBR	Singh et al (1984) <i>Hormone Receptors in Growth and Reproduction</i> , ed Saxena et al. Raven Press, NY, p 341-350: 'Clinical Validation of Enzyme Immunoassay for the Detection of the Preovulatory Luteinizing Hormone Surge in Urine'			
CCR	Stanczyk et al (1980) <i>Am J Obstet Gynecol</i> , 137(4), p 443-450: 'Direct radioimmunoassay of urinary estrogen and pregnanediol glucuronides during the menstrual cycle'			
DDR	The Economist, March 23, 1991, p 428-429: 'Fertility: Keeping the beat'			

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YR	Tsibris et al (1989) <i>Int J Gynecol Obstet Suppl 1</i> p 73-82: 'Cervical mucus enzymes as markers of the woman's fertile period'			
ZR	Vermesh et al (1987) <i>Fertility and Sterility</i> 47(2) p 259-264: 'Monitoring techniques to - predict and detect ovulation'			
AAR	Weerasekera et al (1983) <i>J Steroid Biochem</i> , 18(4), p 465-470: 'Multiple Immunoassay: The Simultaneous Measurement of Two Urinary Steroid Glucuronides as an Index of Ovarian Function'			
BBR	WHO Task Force (1980) <i>Am J Obstet Gynecol</i> , 138(4), p 383-390: 'Temporal relationships between ovulation and defined changes in the concentration of plasma estradiol-17beta, luteinizing hormone, follicle-stimulating hormone, and progesterone'			
CCR	WHO Task Force (1981) <i>Am J Gynecol Obstet</i> , 139(8), p 886-895: 'Temporal relationships between ovulation and defined changes in the concentration of plasma estradiol-17beta, luteinizing hormone, follicle-stimulating hormone, and progesterone. II. Histologic dating'			
DDR	WHO Task Force (1983) <i>Fertility and Sterility</i> , 39(5), p 647-655: 'Temporal relationships between indices of the fertile period'			

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ZR	WHO Task Force (1985) <i>Int J Fertil</i> , 30(3), p 18-30: 'A Prospective Multicentre Study to Develop Universal Immunochemical Tests for Predicting the Fertile Period in Women'			
AAR	Wright et al (1979) <i>Steroids</i> , 34(4), p 445-457: 'Urinary excretion of estrone glucosiduronate, 17beta-estradiol-17-glucosiduronate, and estriol-16alphaglucosiduronate. Significance of proportionate differences during the menstrual cycle. I. Probit analysis'			
BBR	Yong et al (1989) <i>Aust NZ J Obstet Gynecol</i> , 29, p 155-160: 'Simple Office Methods to Predict Ovulation: The Clinical Usefulness of a New Urine Luteinizing Hormone Kit Compared to Basal Body Temperature, Cervical Mucus and Ultrasound'			
CCR	Zinaman et al (1989) <i>Biology of Reproduction</i> , 41, p 790-797: 'The Physiology of Sperm Recovered from the Human Cervix: Acrosomal Status and Response to Inducers of the Acrosome Reaction'			
DDR				

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COM	PR 0833160	04/1998	EPO	CATT et al.			X	
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